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## Ensembles for spin one half

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*Mixed ensemble averages* In [1], Sakurai leaves it to the reader to verify that knowledge of the three ensemble averages  $[S_x], [S_y], [S_z]$  is sufficient to reconstruct the density operator for a spin one half system.

I'll do this in two parts, the first using a spin-up/down ensemble to see what form this has, then the general case. The general case is a bit messy algebraically. After first attempting it the hard way, I did the grunt work portion of that calculation in Mathematica, but then realized it's not so bad to do it manually.

Consider first an ensemble with density operator

$$\rho = w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|, \quad (1.1)$$

where these are the  $\mathbf{S} \cdot (\pm \hat{z})$  eigenstates. The traces are

$$\begin{aligned} \text{Tr}(\rho\sigma_x) &= \langle +|\rho\sigma_x|+\rangle + \langle -|\rho\sigma_x|-\rangle \\ &= \langle +|\rho \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} |+\rangle + \langle -|\rho \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} |-\rangle \\ &= \langle +|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|-\rangle + \langle -|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|+\rangle \\ &= \langle +|w_- |-\rangle + \langle -|w_+ |+\rangle \\ &= 0, \end{aligned} \quad (1.2)$$

$$\begin{aligned} \text{Tr}(\rho\sigma_y) &= \langle +|\rho\sigma_y|+\rangle + \langle -|\rho\sigma_y|-\rangle \\ &= \langle +|\rho \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} |+\rangle + \langle -|\rho \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} |-\rangle \\ &= i \langle +|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|-\rangle - i \langle -|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|+\rangle \\ &= i \langle +|w_- |-\rangle - i \langle -|w_+ |+\rangle \\ &= 0, \end{aligned} \quad (1.3)$$

and

$$\begin{aligned} \text{Tr}(\rho\sigma_z) &= \langle +|\rho\sigma_z|+\rangle + \langle -|\rho\sigma_z|-\rangle \\ &= \langle +|\rho|+\rangle - \langle -|\rho|-\rangle \\ &= \langle +|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|+\rangle - \langle -|(w_+ |+\rangle \langle +| + w_- |-\rangle \langle -|)|-\rangle \\ &= \langle +|w_+ |+\rangle - \langle -|w_- |-\rangle \\ &= w_+ - w_-. \end{aligned} \quad (1.4)$$

Since  $w_+ + w_- = 1$ , this gives

$$\boxed{\begin{aligned} w_+ &= \frac{1 + \text{Tr}(\rho\sigma_z)}{2} \\ w_- &= \frac{1 - \text{Tr}(\rho\sigma_z)}{2} \end{aligned}} \quad (1.5)$$

Attempting to do a similar set of trace expansions this way for a more general spin basis turns out to be a really bad idea and horribly messy. So much so that I resorted to **Mathematica to do this symbolic work**. However, it's not so bad if the trace is done completely in matrix form.

Using the basis

$$\begin{aligned} |\mathbf{S} \cdot \hat{\mathbf{n}}; +\rangle &= \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{bmatrix} \\ |\mathbf{S} \cdot \hat{\mathbf{n}}; -\rangle &= \begin{bmatrix} \sin(\theta/2)e^{-i\phi} \\ -\cos(\theta/2) \end{bmatrix}, \end{aligned} \quad (1.6)$$

the projector matrices are

$$\begin{aligned} |\mathbf{S} \cdot \hat{\mathbf{n}}; +\rangle \langle \mathbf{S} \cdot \hat{\mathbf{n}}; +| &= \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{bmatrix} \begin{bmatrix} \cos(\theta/2) & \sin(\theta/2)e^{-i\phi} \end{bmatrix} \\ &= \begin{bmatrix} \cos^2(\theta/2) & \cos(\theta/2)\sin(\theta/2)e^{-i\phi} \\ \sin(\theta/2)\cos(\theta/2)e^{i\phi} & \sin^2(\theta/2) \end{bmatrix}, \end{aligned} \quad (1.7)$$

$$\begin{aligned} |\mathbf{S} \cdot \hat{\mathbf{n}}; -\rangle \langle \mathbf{S} \cdot \hat{\mathbf{n}}; -| &= \begin{bmatrix} \sin(\theta/2)e^{-i\phi} \\ -\cos(\theta/2) \end{bmatrix} \begin{bmatrix} \sin(\theta/2)e^{i\phi} & -\cos(\theta/2) \end{bmatrix} \\ &= \begin{bmatrix} \sin^2(\theta/2) & -\cos(\theta/2)\sin(\theta/2)e^{-i\phi} \\ -\cos(\theta/2)\sin(\theta/2)e^{i\phi} & \cos^2(\theta/2) \end{bmatrix} \end{aligned} \quad (1.8)$$

With  $C = \cos(\theta/2)$ ,  $S = \sin(\theta/2)$ , a general density operator in this basis has the form

$$\begin{aligned} \rho &= w_+ \begin{bmatrix} C^2 & CSe^{-i\phi} \\ SCe^{i\phi} & S^2 \end{bmatrix} + w_- \begin{bmatrix} S^2 & -CSe^{-i\phi} \\ -CSe^{i\phi} & C^2 \end{bmatrix} \\ &= \begin{bmatrix} w_+C^2 + w_-S^2 & (w_+ - w_-)CSe^{-i\phi} \\ (w_+ - w_-)SCe^{i\phi} & w_+S^2 + w_-C^2 \end{bmatrix}. \end{aligned} \quad (1.9)$$

The products with the Pauli matrices are

$$\begin{aligned} \rho\sigma_x &= \begin{bmatrix} w_+C^2 + w_-S^2 & (w_+ - w_-)CSe^{-i\phi} \\ (w_+ - w_-)SCe^{i\phi} & w_+S^2 + w_-C^2 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} (w_+ - w_-)CSe^{-i\phi} & w_+C^2 + w_-S^2 \\ w_+S^2 + w_-C^2 & (w_+ - w_-)SCe^{i\phi} \end{bmatrix} \end{aligned} \quad (1.10)$$

$$\begin{aligned}\rho\sigma_y &= \begin{bmatrix} w_+C^2 + w_-S^2 & (w_+ - w_-)CSe^{-i\phi} \\ (w_+ - w_-)SCe^{i\phi} & w_+S^2 + w_-C^2 \end{bmatrix} \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \\ &= i \begin{bmatrix} (w_+ - w_-)CSe^{-i\phi} & -w_+C^2 - w_-S^2 \\ w_+S^2 + w_-C^2 & -(w_+ - w_-)SCe^{i\phi} \end{bmatrix}\end{aligned}\quad (1.11)$$

$$\begin{aligned}\rho\sigma_z &= \begin{bmatrix} w_+C^2 + w_-S^2 & (w_+ - w_-)CSe^{-i\phi} \\ (w_+ - w_-)SCe^{i\phi} & w_+S^2 + w_-C^2 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ &= \begin{bmatrix} w_+C^2 + w_-S^2 & -(w_+ - w_-)CSe^{-i\phi} \\ (w_+ - w_-)SCe^{i\phi} & -(w_+S^2 + w_-C^2) \end{bmatrix}\end{aligned}\quad (1.12)$$

The respective traces can be read right off the matrices

$$\begin{aligned}\text{Tr}(\rho\sigma_x) &= (w_+ - w_-) \sin \theta \cos \phi \\ \text{Tr}(\rho\sigma_y) &= (w_+ - w_-) \sin \theta \sin \phi. \\ \text{Tr}(\rho\sigma_z) &= (w_+ - w_-) \cos \theta\end{aligned}\quad (1.13)$$

This gives

$$(w_+ - w_-)\hat{\mathbf{n}} = (\text{Tr}(\rho\sigma_x), \text{Tr}(\rho\sigma_y), \text{Tr}(\rho\sigma_z)), \quad (1.14)$$

or

$$\boxed{w_{\pm} = \frac{1 \pm \sqrt{\text{Tr}^2(\rho\sigma_x) + \text{Tr}^2(\rho\sigma_y) + \text{Tr}^2(\rho\sigma_z)}}{2}}. \quad (1.15)$$

So, as claimed, it's possible to completely describe the ensemble weight factors using the ensemble averages of  $[S_x], [S_y], [S_z]$ . I used the Pauli matrices instead, but the difference is just an  $\hbar/2$  scaling adjustment.

*Pure ensemble* It turns out that doing the above is also pr. 3.10(b). Part (a) of that problem is to show how the expectation values  $\langle S_x \rangle, \langle S_y \rangle, \langle S_z \rangle$  fully determine the spin orientation for a pure ensemble.

Suppose that the system is in the state  $|\mathbf{S} \cdot \hat{\mathbf{n}}; +\rangle$  as defined in eq. (1.6), then the expectation values of  $\sigma_x, \sigma_y, \sigma_z$  with respect to this state are

$$\begin{aligned}\langle \sigma_x \rangle &= \begin{bmatrix} \cos(\theta/2) & \sin(\theta/2)e^{-i\phi} \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{bmatrix} \\ &= \begin{bmatrix} \cos(\theta/2) & \sin(\theta/2)e^{-i\phi} \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \sin(\theta/2)e^{i\phi} \\ \cos(\theta/2) \end{bmatrix} \\ &= \sin \theta \cos \phi,\end{aligned}\quad (1.16)$$

$$\begin{aligned}
\langle \sigma_y \rangle &= [\cos(\theta/2) \quad \sin(\theta/2)e^{-i\phi}] \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{bmatrix} \\
&= i [\cos(\theta/2) \quad \sin(\theta/2)e^{-i\phi}] \begin{bmatrix} -\sin(\theta/2)e^{i\phi} \\ \cos(\theta/2) \end{bmatrix} \\
&= \sin \theta \sin \phi,
\end{aligned} \tag{1.17}$$

$$\begin{aligned}
\langle \sigma_z \rangle &= [\cos(\theta/2) \quad \sin(\theta/2)e^{-i\phi}] \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{bmatrix} \\
&= [\cos(\theta/2) \quad \sin(\theta/2)e^{-i\phi}] \begin{bmatrix} \cos(\theta/2) \\ -\sin(\theta/2)e^{i\phi} \end{bmatrix} \\
&= \cos \theta.
\end{aligned} \tag{1.18}$$

So we have

$$\hat{\mathbf{n}} = (\langle \sigma_x \rangle, \langle \sigma_y \rangle, \langle \sigma_z \rangle). \tag{1.19}$$

The spin direction is completely determined by this vector of expectation values (or equivalently, the expectation values of  $S_x, S_y, S_z$ ).

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## Bibliography

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- [1] Jun John Sakurai and Jim J Napolitano. *Modern quantum mechanics*. Pearson Higher Ed, 2014. 1