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## PHY2403H Quantum Field Theory. Lecture 5: Klein-Gordon equation, SHOs, momentum space representation, raising and lowering operators.. Taught by Prof. Erich Poppitz

## Disclaimer

DISCLAIMER: Very rough notes from class. Some additional side notes, but otherwise barely edited. These are notes for the UofT course PHY2403H, Quantum Field Theory I, taught by Prof. Erich Poppitz fall 2018.

### 1.1 Canonical quantization

Last time we introduced a Lagrangian density associated with the Klein-Gordon equation (with a quadratic potential coupling)

$$
\begin{equation*}
L=\int d^{3} x\left(\frac{1}{2}\left(\partial_{0} \phi\right)^{2}-\frac{1}{2}(\nabla \phi)^{2}-\frac{m^{2}}{2} \phi^{2}-\frac{\lambda}{4} \phi^{4}\right) \tag{1.1}
\end{equation*}
$$

This Lagrangian density was related to the action by

$$
\begin{equation*}
S=\int d t L=\int d t d^{3} x \mathcal{L} \tag{1.2}
\end{equation*}
$$

with momentum canonically conjugate to the field $\phi$ defined as

$$
\begin{equation*}
\Pi(\mathbf{x}, t)=\frac{\delta \mathcal{L}}{\delta \dot{\phi}(\mathbf{x}, t)}=\frac{\partial \mathcal{L}}{\partial \dot{\phi}(\mathbf{x}, t)} \tag{1.3}
\end{equation*}
$$

The Hamiltonian defined as

$$
\begin{equation*}
H=\int d^{3} x(\Pi(\mathbf{x}, t) \dot{\phi}(\mathbf{x}, t)-\mathcal{L}) \tag{1.4}
\end{equation*}
$$

led to

$$
\begin{equation*}
H=\int d^{3} x\left(\frac{1}{2} \Pi^{2}+(\nabla \phi)^{2}+\frac{1}{2} m^{2} \phi^{2}+\frac{\lambda}{4} \phi^{4}\right) \tag{1.5}
\end{equation*}
$$

Like the Lagrangian density, we may introduce a Hamiltonian density $\mathcal{H}$ as

$$
\begin{equation*}
H=\int d^{3} x \mathcal{H}(\mathbf{x}, t) . \tag{1.6}
\end{equation*}
$$

For our Klein-Gordon system, this is

$$
\begin{equation*}
\mathcal{H}(\mathbf{x}, t)=\frac{1}{2} \Pi^{2}+(\nabla \phi)^{2}+\frac{1}{2} m^{2} \phi^{2}+\frac{\lambda}{4} \phi^{4} . \tag{1.7}
\end{equation*}
$$

## Canonical Commutation Relations (CCR) :

We quantize the system by promoting our fields to Heisenberg-Picture (HP) operators, and imposing commutation relations

$$
\begin{equation*}
[\hat{\Pi}(\mathbf{x}, t), \hat{\phi}(\mathbf{y}, t)]=-i \delta^{3}(\mathbf{x}-\mathbf{y}) \tag{1.8}
\end{equation*}
$$

This is in analogy to

$$
\begin{equation*}
\left[\hat{p}_{i}, \hat{q}_{j}\right]=-i \delta_{i j}, \tag{1.9}
\end{equation*}
$$

To choose a representation, we may map the $\Psi$ of $\mathrm{QM} \rightarrow$ to a wave functional $\Psi[\phi]$

$$
\begin{equation*}
\hat{\phi}(\mathbf{y}, t) \Psi[\phi]=\phi(\mathbf{y}, t) \Psi[\phi] \tag{1.10}
\end{equation*}
$$

This is similar to the QM wave functions

$$
\begin{align*}
& \hat{q}_{i} \Psi(\{q\})=q_{i} \Psi(q) \\
& \hat{p}_{i} \Psi(\{q\})=-i \frac{\partial}{\partial q_{i}} \Psi(p) \tag{1.11}
\end{align*}
$$

Our momentum operator is quantized by expressing it in terms of a variational derivative

$$
\begin{equation*}
\hat{\Pi}(\mathbf{x}, t)=-i \frac{\delta}{\delta \phi(\mathbf{x}, t)} . \tag{1.12}
\end{equation*}
$$

(Fixme: I'm not really sure exactly what is meant by using the variation derivative $\delta$ notation here), and to quantize the Hamiltonian we just add hats, assuming that our fields are all now HP operators

$$
\begin{equation*}
\hat{\mathcal{H}}(\mathbf{x}, t)=\frac{1}{2} \hat{\Pi}^{2}+(\nabla \hat{\phi})^{2}+\frac{1}{2} m^{2} \hat{\phi}^{2}+\frac{\lambda}{4} \hat{\phi}^{4} . \tag{1.13}
\end{equation*}
$$

QM SHO review Recall the QM SHO had a Hamiltonian

$$
\begin{equation*}
\hat{H}=\frac{1}{2} \hat{p}^{2}+\frac{1}{2} \omega^{2} \hat{q}^{2}, \tag{1.14}
\end{equation*}
$$

where

$$
\begin{equation*}
[\hat{p}, \hat{q}]=-i, \tag{1.15}
\end{equation*}
$$

and that HP time evolution operators $O$ satisfied

$$
\begin{equation*}
\frac{d \hat{O}}{d t}=i[\hat{H}, \hat{O}] . \tag{1.16}
\end{equation*}
$$

In particular

$$
\begin{align*}
\frac{d \hat{p}}{d t} & =i[\hat{H}, \hat{p}] \\
& =i \frac{\omega^{2}}{2}\left[\hat{q}^{2}, \hat{p}\right]  \tag{1.17}\\
& =i \frac{\omega^{2}}{2}(2 i \hat{q}) \\
& =-i \omega^{2} \hat{q}
\end{align*}
$$

and

$$
\begin{align*}
\frac{d \hat{q}}{d t} & =i[\hat{H}, \hat{q}] \\
& =i \frac{1}{2}\left[\hat{p}^{2}, \hat{q}\right]  \tag{1.18}\\
& =\frac{i}{2}(-2 i \hat{p}) \\
& =\hat{p} .
\end{align*}
$$

Applying the time evolution operator twice, we find

$$
\begin{equation*}
\frac{d^{2}}{d t^{2}} \hat{q}=\frac{d \hat{p}}{d t}=-\omega^{2} \hat{q} . \tag{1.19}
\end{equation*}
$$

We see that the Heisenberg operators obey the classical equations of motion.
Now we want to try this with the quantized QFT fields we've promoted to operators

$$
\begin{align*}
\frac{d \hat{\Pi}}{d t}(\mathbf{x}, t) & =i[\hat{H}, \hat{\Pi}(\mathbf{x}, t)]  \tag{1.20}\\
& =i \int d^{3} y \frac{1}{2}\left[(\boldsymbol{\nabla} \hat{\phi}(\mathbf{y}))^{2}, \hat{\Pi}(\mathbf{x})\right]+i \int d^{3} y \frac{m^{2}}{2}\left[\hat{\phi}(\mathbf{y})^{2}, \hat{\Pi}(\mathbf{x})\right]+i \frac{\lambda}{4} \int d^{3}\left[\hat{\phi}(\mathbf{y})^{4}, \hat{\Pi}(\mathbf{x})\right]
\end{align*}
$$

Starting with the non-gradient commutators, and utilizing the HP field analogues of the relations $\left[\hat{q}^{n}, \hat{p}\right]=n i \hat{q}^{n-1}$, we find

$$
\begin{align*}
\int d^{3} y\left[(\hat{\phi}(\mathbf{y}))^{2}, \hat{\Pi}(\mathbf{x})\right] & =\int d^{3} y 2 i \hat{\phi}(\mathbf{y}) \delta^{3}(\mathbf{x}-\mathbf{y})  \tag{1.21}\\
& =2 i \hat{\phi}(\mathbf{x}) .
\end{align*}
$$

For the gradient commutators, we have more work. Prof Poppitz blitzed through that, just calling it integration by parts. I had trouble seeing what he was doing, so here's a more explicit dumb
expansion required to calculate the commutator

$$
\begin{align*}
\int d^{3} y(\nabla \hat{\phi}(\mathbf{y}))^{2} \hat{\Pi}(\mathbf{x}) & =\int d^{3} y(\boldsymbol{\nabla} \hat{\phi}(\mathbf{y}) \cdot \boldsymbol{\nabla} \hat{\phi}(\mathbf{y})) \hat{\Pi}(\mathbf{x}) \\
& =\int d^{3} y \nabla \hat{\phi}(\mathbf{y}) \cdot(\boldsymbol{\nabla}(\hat{\phi}(\mathbf{y}) \hat{\Pi}(\mathbf{x}))) \\
& =\int d^{3} y \boldsymbol{\nabla} \hat{\phi}(\mathbf{y}) \cdot\left(\boldsymbol{\nabla}\left(\hat{\Pi}(\mathbf{x}) \hat{\phi}(\mathbf{y})+i \delta^{3}(\mathbf{x}-\mathbf{y})\right)\right) \\
& =\int d^{3} y\left(\boldsymbol{\nabla}(\hat{\phi}(\mathbf{y}) \hat{\Pi}(\mathbf{x})) \cdot \boldsymbol{\nabla} \hat{\phi}(\mathbf{y})+i \boldsymbol{\nabla} \hat{\phi}(\mathbf{y}) \cdot \boldsymbol{\nabla} \delta^{3}(\mathbf{x}-\mathbf{y})\right) \\
& =\int d^{3} y\left(\boldsymbol{\nabla}\left(\hat{\Pi}(\mathbf{x}) \hat{\phi}(\mathbf{y})+i \delta^{3}(\mathbf{x}-\mathbf{y})\right) \cdot \boldsymbol{\nabla} \hat{\phi}(\mathbf{y})+i \boldsymbol{\nabla} \hat{\phi}(\mathbf{y}) \cdot \boldsymbol{\nabla} \delta^{3}(\mathbf{x}-\mathbf{y})\right) \\
& =\int d^{3} y \hat{\Pi}(\mathbf{x})(\nabla \hat{\phi}(\mathbf{y}) \cdot \nabla \hat{\phi}(\mathbf{y}))+2 i \int d^{3} y \boldsymbol{\nabla} \hat{\phi}(\mathbf{y}) \cdot \nabla \delta^{3}(\mathbf{x}-\mathbf{y}) \\
& =\int d^{3} y \hat{\Pi}(\mathbf{x}) \nabla^{2} \hat{\phi}(\mathbf{y})+2 i \int d^{3} y \boldsymbol{\nabla} \cdot\left(\delta^{3}(\mathbf{x}-\mathbf{y}) \nabla \hat{\phi}(\mathbf{y})\right)-2 i \int d^{3} y \delta^{3}(\mathbf{x}-\mathbf{y}) \nabla^{2} \hat{\phi}(\mathbf{y}) \\
& =\int d^{3} y \hat{\Pi}(\mathbf{x}) \nabla^{2} \hat{\phi}(\mathbf{y})+2 i \int_{\partial} d^{2} y \delta^{3}(\mathbf{x}-\mathbf{y}) \hat{\mathbf{n}} \cdot \boldsymbol{\nabla} \hat{\phi}(\mathbf{y})-2 i \nabla^{2} \hat{\phi}(\mathbf{x}) . \tag{1.23}
\end{align*}
$$

Here we take advantage of the fact that the derivative operators $\boldsymbol{\nabla}=\boldsymbol{\nabla}_{\mathbf{y}}$ commute with $\hat{\Pi}(\mathbf{x})$, and use the identity $\boldsymbol{\nabla} \cdot(a \boldsymbol{\nabla} b)=(\nabla a) \cdot(\nabla b)+a \nabla^{2} b$, so the commutator is

$$
\begin{align*}
\int d^{3} y\left[(\nabla \hat{\phi}(\mathbf{y}))^{2}, \hat{\Pi}(\mathbf{x})\right] & =2 i \int_{\partial} d^{2} y \delta^{3}(\mathbf{x}-\mathbf{y}) \hat{\mathbf{n}} \cdot \boldsymbol{\nabla} \hat{\phi}(\mathbf{y})-2 i \nabla^{2} \hat{\phi}(\mathbf{x})  \tag{1.24}\\
& =-2 i \nabla^{2} \hat{\phi}(\mathbf{x}),
\end{align*}
$$

where the boundary integral is presumed to be zero (without enough justification.) All the pieces can now be put back together

$$
\begin{equation*}
\frac{d}{d t} \hat{\Pi}(\mathbf{x}, t)=\nabla^{2} \hat{\phi}(\mathbf{x}, t)-m^{2} \hat{\phi}(\mathbf{x}, t)-\lambda \hat{\phi}^{3}(\mathbf{x}, t) \tag{1.25}
\end{equation*}
$$

Now, for the $\hat{\phi}$ time evolution, which is much easier

$$
\begin{align*}
\frac{d \hat{\phi}}{d t}(\mathbf{x}, t) & =i[\hat{H}, \hat{\phi}(\mathbf{x}, t)] \\
& =i \frac{1}{2} \int d^{3} y\left[\hat{\Pi}^{2}(\mathbf{y}), \hat{\phi}(\mathbf{x})\right]  \tag{1.26}\\
& =i \frac{1}{2} \int d^{3} y(-2 i) \hat{\Pi}(\mathbf{y}, t) \delta^{3}(\mathbf{x}-\mathbf{y}) \\
& =\hat{\Pi}(\mathbf{x}, t) \\
\frac{d^{2}}{d t^{2}} & \hat{\phi}(\mathbf{x}, t)=\nabla^{2} \phi-m^{2} \phi-\lambda \hat{\phi}^{3} \tag{1.27}
\end{align*}
$$

That is

$$
\begin{equation*}
\ddot{\hat{\phi}}-\nabla^{2} \hat{\phi}+m^{2} \hat{\phi}+\lambda \hat{\phi}^{3}=0, \tag{1.28}
\end{equation*}
$$

which is the classical Euler-Lagrange equation, also obeyed by the Heisenberg operator $\phi(\mathbf{x}, t)$. When $\lambda=0$ this is the Klein-Gordon equation.

### 1.2 Momentum space representation.

Dropping hats, we now consider the momentum space representation of our operators, as determined by Fourier transform pairs

$$
\begin{align*}
& \phi(\mathbf{x}, t)=\int \frac{d^{3} p}{(2 \pi)^{3}} e^{i \mathbf{p} \cdot \mathbf{x}} \tilde{\phi}(\mathbf{p}, t)  \tag{1.29}\\
& \tilde{\phi}(\mathbf{p}, t)=\int d^{3} x e^{-i \mathbf{p} \cdot \mathbf{x}} \phi(\mathbf{x}, t)
\end{align*}
$$

We can discover a representation of the delta function by applying these both in turn

$$
\begin{equation*}
\tilde{\phi}(\mathbf{p}, t)=\int d^{3} x e^{-i \mathbf{p} \cdot \mathbf{x}} \int \frac{d^{3} q}{(2 \pi)^{3}} e^{i \mathbf{q} \cdot \mathbf{x}} \tilde{\phi}(\mathbf{q}, t) \tag{1.30}
\end{equation*}
$$

so

$$
\begin{equation*}
\int d^{3} x e^{i \mathbf{A} \cdot x}=(2 \pi)^{3} \delta^{3}(\mathbf{A}) \tag{1.31}
\end{equation*}
$$

Also observe that $\phi^{*}(\mathbf{x}, t)=\phi(\mathbf{x}, t)$ iff $\tilde{\phi}(\mathbf{p}, t)=\tilde{\phi}^{*}(-\mathbf{p}, t)$.
We want the EOM for $\tilde{\phi}(\mathbf{p}, t)$ where the operator obeys the KG equation

$$
\begin{equation*}
\left(\partial_{t}^{2}-\nabla^{2}+m^{2}\right) \phi(\mathbf{x}, t)=0 \tag{1.3}
\end{equation*}
$$

Inserting the transform relation eq. (1.29) we get

$$
\begin{equation*}
\int \frac{d^{3} p}{(2 \pi)^{3}} e^{i \mathbf{p} \cdot \mathbf{x}}\left(\ddot{\tilde{\phi}}(\mathbf{p}, t)+\left(\mathbf{p}^{2}+m^{2}\right) \tilde{\phi}(\mathbf{p}, t)\right)=0 \tag{1.33}
\end{equation*}
$$

or

$$
\begin{equation*}
\ddot{\tilde{\phi}}(\mathbf{p}, t)=-\omega_{\mathbf{p}}^{2} \tilde{\phi}(\mathbf{p}, t) \tag{1.34}
\end{equation*}
$$

where

$$
\begin{equation*}
\omega_{\mathbf{p}}=\sqrt{\mathbf{p}^{2}+m^{2}} \tag{1.35}
\end{equation*}
$$

The Fourier components of the HP operators are SHOs!
As we have SHO's and know how to deal with these in QM, we use the same strategy, introducing raising and lowering operators

$$
\begin{equation*}
\tilde{\phi}(\mathbf{p}, t)=\frac{1}{\sqrt{2 \omega_{\mathbf{p}}}}\left(e^{-i \omega_{\mathbf{p}} t} a_{\mathbf{p}}+e^{i \omega_{\mathbf{p}} t} a_{-\mathbf{p}}^{\dagger}\right) \tag{1.36}
\end{equation*}
$$

Observe that

$$
\begin{align*}
\tilde{\phi}^{\dagger}(-\mathbf{p}, t) & =\frac{1}{\sqrt{2 \omega_{\mathbf{p}}}}\left(e^{i \omega_{\mathbf{p}} t} a_{-\mathbf{p}}^{+}+e^{-i \omega_{\mathbf{p}} t} a_{\mathbf{p}}\right)  \tag{1.37}\\
& =\tilde{\phi}(\mathbf{p}, t),
\end{align*}
$$

or

$$
\begin{equation*}
\tilde{\phi}^{\dagger}(\mathbf{p}, t)=\tilde{\phi}(-\mathbf{p}, t), \tag{1.38}
\end{equation*}
$$

so $\phi(\mathbf{p}, t)$ has a real representation in terms of $a_{\mathbf{p}}$.
We will find (Wednesday) that

$$
\begin{equation*}
\left[a_{\mathbf{q}}, a_{\mathbf{p}}^{+}\right]=\delta^{3}(\mathbf{p}-\mathbf{q})(2 \pi)^{3} . \tag{1.39}
\end{equation*}
$$

These are equivalent to

$$
\begin{equation*}
[\hat{\Pi}(\mathbf{y}, t), \tilde{\phi}(\mathbf{x}, t)]=-i \delta^{3}(\mathbf{x}-\mathbf{y}) \tag{1.40}
\end{equation*}
$$

